PROCEDURE



Ref. to Legislative Frameworks:

HESF2021: Standard 5.2 / 6.3

SRTO2015: Standard 1.4 / 1.8 / 2.2 / 3.1 /

8.4

National Code 2018: Standard 8.4 / 8.8 / 10

Version: 6.1

Academic Misconduct and Plagiarism

Approved By:

Academic Dean

Issued on: 01/12/2023 Review by: 01/12/2025

Revision History

Current Version	Description of Change	Procedure Developer(s)	Effective Date
6.1	Updated reference to Artificial Intelligence	Compliance Manager, Head of Academic Integrity, and Academic Dean	01/12/2023
6.0	Updated to HESF 2021 Included definition on misrepresentation	Compliance Manager and Academic Dean	09/03/2023
5.0	Additional clarification of roles and responsibilities were included. Changes to the Deferment, Suspension or Cancellation of a Student's Enrolment Procedure v9 were refelected in 2.3.2	Academic Dean	24/01/2020
4.2	Reviewed amended and approved	Head of Academic Departments Committee (HOAD) and The Academic Quality Assurance Portfolio	23/05/2019

PURPOSE

This procedure sets out the strategies and sequence of actions in identifying and responding to academic misconduct, including plagiarism.

SCOPE

This procedure applies to all students enrolled in accredited training and/or higher education courses and the teaching staff members of AAPoly.

PROCEDURE

1. Detecting and Dealing with Academic Misconduct Including Plagiarism

The Academic Team may identify an academic misconduct, including contract cheating and plagiarism, using three methods:

a) Traditional methods

Baites, P & Fain, M. (2003), <u>Detecting Plagiarised Papers</u>, provided a checklist of indicators, such as:

- Strange or inconsistent **formatting** may indicate that material has been copied and pasted from other sources.
- Irregularities in the use of **citation** protocols, inconsistencies between in-text citation and reference lists (or bibliographies) may indicate that the student has copied and pasted sections of the submitted work from different sources. Although students often forget to list all citations in the references, or bibliography, it is also common in plagiarised work.
- Variations in writing style within an assignment can be a clue to plagiarised work. However, style questions might also point to poor or exceptional writing skills (Kern & Jackson, 2000)
- The content of a plagiarised paper may not be on the topic, but be very well
 written. There may be material in an assignment which would be correct in
 another context but wrong with respect to the topic of the assignment or the
 aspect to which it refers. This may mean that a student has copied an original
 work and substituted some details
- Technical clues may also indicate plagiarism.

b) Electronic methods

The Academic Team utilises 'Turnitin" software, search engines such as Google and software packages to detect academic misconduct, especially plagiarism and the unauthorised and inappropriate use of Artificial Intelligence (AI) or similar technology tools.

c) Calibration

Subjects must be designed and delivered to provide opportunities for both formal and informal calibration of the assessment of student performance. Calibration can be used to identify any mismatch between student performance across different

assessment tasks, where there is any suspicion of a breach of academic integrity, such as unauthorised and inappropriate use of Artificial Intelligence or similar technology tools.

In the subject design phase, subjects must include at least one opportunity to undertake invigilated assessment. In the subject delivery phase, lecturers must use a range of approachesm incuding comparing performance between invigilated and non-invigilated assessment to prompt interviews and other verification approaches to ensure academic integrity is upheld.

To mitigate contract cheating, lecturers are encouraged to be vigilant of any high standard quality works submitted by a student especially when it is above the student's normal standard or calibrated against another piece of work submitted earlier by the student. If a lecturer is suspicious about the quality of a student's report or essay, it is recommended to interview the student and ask them about how they have answered the questions and compare the student's responses to the content in the report. Calibration of student's work can be achieved through verifiable work done during tutorials or formative or invigilated assessments completed early in the semester.

2. Investigation of a Suspected Academic Misconduct

2.1. Identification

If an Academic Staff member believes that there is evidence of Academic misconduct in work submitted by a student or that cheating, collusion or any other academic misconduct has occurred, the following steps apply.

- a) The Academic staff member documents the perceived offence in an Incident Report and forward it to Head, Academic Integrity.
- b) The Head, Academic Integrity requests in writing for the student involved in the alleged academic misconduct to attend a meeting with the Head and the Academic staff member.
- c) At the first stage of academic misconduct the Head of Academic Integrity and the academic staff responsible decides when the student admits the case. The academic misconduct letter is issued to the student and the warning is recorded in Paradigm (Student Management System).
- d) If the student claims with an appeal that he/she is not guilty, then the case will be forwarded to ARC.
- e) If the Head, Academic Integrity believes that the student/s has committed a substantial academic misconduct act, the Head, Academic Integrity forwards the investigation outcomes to the Academic Review Committee for determination. The decision of the ARC is final.

2.2. Scope of Determination of the Academic Review Committee Regarding Academic Misconduct

The Academic Review Committee hears allegations of academic misconduct and determines penalties (as appropriate) for **First** and **Second** Offences.

2.2.1. First Offence

- If the offense is severe, the Head, Academic Integrity issues a warning letter to the student, and an opportunity to resubmit and the student will receive either a pass or fail for the resubmitted assessment.
- 2. If the offence is not severe (Refer to AAPoly Assessment Quality-Operational Guide and the Turnitin Similarity Index Table below), the Head, Academic Integrity issues a warning letter and suggest one of the following penalties depending on the severity of the breach as indicated in the penalty table below:
 - a. Resubmit the task with no more than 50% of the mark will be awarded if the student/s satisfied the task requirements.
 - b. Resubmit the task on a new topic with no more than 50% of the mark will be awarded.
 - c. If the re-submission deadline (within two weeks) is met and the task satisfies the requirements, the lecturer should mark the task and award no more than 50% of the total mark of the task. If the student did not meet the deadline, the student would receive a zero mark for the task.
- 3. If the student does not admit to the misconduct, he/she can appeal within five (5) working days of being notified about the penalty decision, using the Academic Misconduct Appeal process.
- 4. If the assessment is submitted through Turnitin, the following penalty rules will be applied:

2.2.2. Second Offence

If the student repeats the act of academic misconduct and the student did not appeal, the Academic staff member provides the evidence including the Turnitin report to the Head, Academic Integrity. If the student admits the offence or if the result of the investigation determines the student committed the offence, the student will receive a second warning letter and a zero mark in the assessment.

If the student did not admit plagiarism or disagreed with the decision, he/she can appeal within five (5) working days of being notified of the penalty decision, using the Academic Misconduct Appeal process. The Head, Academic Integrity then forwards the results of the investigation to the Academic Review Committee.

2.3. Scope of Determination of the Student Discipline Committee Regarding Academic Misconduct

The Student Discipline Committee will hear allegations of Academic Misconduct and determine penalties (as appropriate) for the **Third** and **subsequent** offences.

2.3.1. Third Offence

If the student repeats the academic misconduct for the third time, the relevant Academic Staff member provides the evidence, and the Head, Academic Integrity forwards the results of the investigation to the Academic Review Committee to make a decision.

If the student admits to the offence or if the result of the investigation determines the student committed the offence, the Head, Academic Integrity issues the final warning letter to the student and will receive fail for the whole subject.

If the student disagrees with the decision, he/she can appeal within five (5) working days of being notified about the penalty decision using the Academic Misconduct Appeal process. The Head, Academic Integrity forwards the results of the investigation and the appeal to the Academic Review Committee to make a decision.

2.3.2. Expulsion from the Course

If the student repeats the academic misconduct after the third time, the relevant Academic Staff member provides the evidence, and the Head, Academic Integrity concurred that a breach of academic integrity has occurred, the matter is referred to the Student Discipline Committee for investigation. The Student Discipline Committee may, if it is deemed appropriate, determine that the student should be expelled from the Course.

If the Student Discipline Committee decides to expel the student from the course, then the Chair will refer the matter, with recommendations, to the Chief Executive Officer, who will review the case within five (5) working days of receiving the report.

If the outcome of the review requires the expulsion of the student, the Designated Head of Student Services will act in accordance with the Deferment, Suspension or Cancellation of a Student's Enrolment Procedure to cancel the student's enrolment and report the student to the Department of Educationvia PRISMS. This record of penalty will be shown in the student's academic record.

3. Penalties for academic misconduct based on the Similarity Index Value of Turnitin Report

If an assessment is submitted through Turnitin, the following penalty rules will be applied:

	P	Penalties within Turnitin Submission		
	Similarity Index*	Proposed Penalty		
•	0 - 20 % (no offence)	No Penalty if the Turnitin similarity index is less than 20% and the similarities are coming from more than one source.		

• 21% - 40% (not severe)	□ A warning letter; and the student will be asked to resubmit the assessment or different assessment within two weeks. a- If the student resubmits in time, the student may receive up to 50% of the total original mark of the assessment. b- If the student did not meet the assessment deadline, the student would receive a zero mark for the assessment.
• > 40% (severe offence)	☐ A warning letter and a zero mark for the assessment task if the Turnitin similarity index is more than 40%.

Notes:

- The similarity index in Turnitin is the proportion of the document that is copied from or similar to other reports submitted by other authors, or the same author but for different subjects or locations.
- Any qualifying text generated by AI (percentage) determined by Turnitin requires further scrutiny and human judgment in conjunction with AAPoly's academic policies to determine whether any academic misconduct has occurred.
- The overall similarity index reading should not include the cover page or the reference list.
- If a student commits an academic misconduct in an assessment, the subject lecturer should fill a academic misconduct report, provide the evidence to support the claim, and forward it to the Head, Academic Integrity.

4. Academic Misconduct Appeal Process

If student/s believes that his/her work has not been plagiarised or that he/she has not committed academic misconduct, an Appeal must be lodged in writing through Student Services within five (5) working days of being notified about the above decision.

If the student intends to appeal the decision of the academic misconduct, he/she must provide supporting evidence to substantiate his/her case and is entitled to bring an advocate.

The student's Appeal Application will be forwarded to the Head, Academic Integrity. The Head, Academic Integrity will refer the matter to the Complaints and Appeals Committee, with supporting evidence of the Misconduct and the Appeal Application.

RESPONSIBILITIES

1. Academic Staff and AAPoly Management's Responsibilities

- a) Provide clear, unambiguous instructions for students on how to avoid plagiarism and collusion
- b) Provide students with training on Academic Assessment preparation, highlighting examples of appropriate referencing techniques and ensuring students have ample practices of such
- c) Academic staff to set high standards as role models and to adhere to citation guidelines in their work.

- d) Course designers must include at least one opportunity for invigilated assessment in each subject.
- e) Lecturers must provide a range of opportunities for calibration in subject delivery, including formal and informal approaches.

2. The Head, Academic Integrity

- a) The Head, Academic Integrity ensures accurate and timely communication with students.
- b) The Head, Academic Integrity ensures the safe receipt and filing of all evidence related to an academic misconduct investigation.
- c) The Head, Academic Integrity facilities the process involving student, academic staff, and committees.

3. The Academic Review Committee (ARC)

- a) The ARC hears allegations of academic misconduct and makes decisions based upon the severity of the misconduct.
- b) The Academic Dean chairs the ARC. Members of the committee include the relevant Program Coordinator, the Associate Dean (Education), and the Designated Head of Student Services.
- c) The Committee will convene to hear allegations of academic misconduct within five working days from the date of receiving the misconduct report.

4. The Student Discipline Committee

- a) The Student Discipline Committee hears allegations of non-academic misconduct by students
- b) The AAPoly Student Discipline Committee Chair is appointed by the Academic Dean. Committee members are selected by the Chair and approved by the Academic Dean.
- c) The Committee will convene to hear allegations of student misconduct within two weeks from the date of receiving the misconduct report.

5. Students' Responsibilities

- a) Ensure proper referencing and acknowledge all the work done by others before submitting any assessment tasks
- b) Commit to submitting only own genuine work and protect it from intentional or unintentional copying by fellow students
- c) Seek assistance from appropriate lecturers if unsure about the proper way of referencing or academic work preparation
- d) Acknowledge the use of Generative Artificial Intelligence during assessments

FEEDBACK

Queries or feedback about this procedure should be directed to the Academic Dean through academicdean@aapoly.edu.au. The Academic Dean will respond to the written question or feedback within two (2) weeks from the receipt unless an extenuating circumstance requires an immediate response or action. The feedback and subsequent outcome will be documented in the version register which will form a part of quality assurance and continuous improvement of AAPoly.

References

Source	Document Title
Internal	AAPoly Academic Board Charter
	AAPoly Academic Integrity, Honesty and Free Intellectual Inquiry Policy
	AAPoly Academic Misconduct and Plagiarism Procedure
	AAPoly (HE) Academic Review Committee
External	Higher Education Standards Framework (Threshold Standards) 2021
	National Code of Practice for Providers of Education and Training to Overseas Students
	TEQSA Guidance note: Academic integrity (v1.2)